

TRANSMITTAL
FORM



Application Serial Number 10/068,461
Filing Date February 6, 2002
First Named Inventor Bloomfield
Group Art Unit 2152
Examiner Name Not Yet Assigned
Attorney Docket No. CTX-077 (1545/131)
Patent No. Not applicable
Issue Date Not applicable

ENCLOSURES (check all that apply)

- | | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form (1 pg.)
<input checked="" type="checkbox"/> Check Attached- \$1790
<input type="checkbox"/> Copy of Fee Transmittal Form
<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]
<input checked="" type="checkbox"/> Petition for Three-Month Extension of Time (1 pg.)
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Form PTO-1449
<input type="checkbox"/> Copies of IDS Citations
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application (1pg.)
<input type="checkbox"/> Formal Drawing(s)
<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal
<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)
<input type="checkbox"/> Terminal Disclaimer
<input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application (4 pgs.)
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> CD(s) for large table or computer program
<input type="checkbox"/> Amendment After Allowance
<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 (1 pg.)
<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts (1 pg.) |
|---|--|--|

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

 Date: July 16, 2002
 Reg. No. 50,389
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100
 Robert S. Blasi, Esq.
 Attorney for Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110

FEE TRANSMITTAL
FY 2002

Complete if Known

Application Serial Number	10/068,461
Filing Date	February 6, 2002
First Named Inventor	Bloomfield
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-077

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	740.00
330	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	18	- 20 =	x \$ 18.00 =	0
Independent Claims	3	- 3 =	x \$ 84.00 =	0

☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL: 740.00

SMALL ENTITY DISCOUNT: 0.00

SUBTOTAL (1) (\$) 740.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x \$ 18.00 =	
Indep.	-	=	x \$ 84.00 =	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$280.00 =	

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	920.00
1440	720	Extension for reply within fourth month	
1960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$) 1050.00

SUBTOTAL (1) 740.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 1050.00

TOTAL (\$) 1790.00

CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Date: July 16, 2002
 Reg. No.: 50,389
 Tel. No.: (617) 248-7097
 Fax No.: (617) 248-7100

Robert S. Blasi, Esq.
 Attorney for the Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
 Boston, MA 02110



COPY OF PAPERS
ORIGINALLY FILED

PATENT
Attorney Docket No. CTX-077
(1545/131)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Bloomfield et al.
SERIAL NO.: 10/068,461 GROUP NO.: 2152
FILING DATE: February 6, 2002 EXAMINER: Not Yet Assigned
TITLE: Interacting With Software Applications Displayed in a Web Page

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

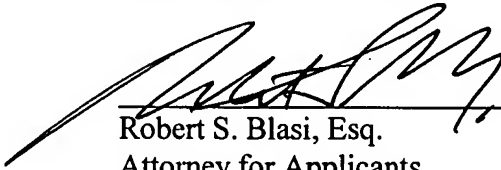
In response to the Notice to File Missing Parts of Nonprovisional Application mailed on March 1, 2002 in connection with the above-identified patent application, the Applicants submit herewith an executed copy of the Declaration and Power of Attorney, a Petition for a Three-Month Extension of Time, and a check in the amount of \$1,790.00 to pay the filing fee, late declaration surcharge, and the fee for the three month extension of time for a non-small entity.

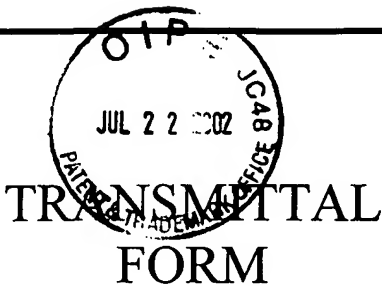
Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted,

Date: July 16, 2002
Reg. No. 50,389

Tel. No. (617) 248-7097
Fax: (617) 248-7100


Robert S. Blasi, Esq.
Attorney for Applicants
Testa, Hurwitz, & Thibeault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110



Application Serial Number	10/068,461
Filing Date	February 6, 2002
First Named Inventor	Bloomfield
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-077 RECEIVED
Patent No.	Not applicable
Issue Date	Not applicable AUG 12 2002

ENCLOSURES (check all that apply)

Technology Center 2100

<input type="checkbox"/> Fee Transmittal Form (1 pg.) <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 (1 pg.) <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Copy of Filing Receipt with changes marked in red (2 pgs.) <input checked="" type="checkbox"/> Request for Corrected Filing Receipt (1 pg.)
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CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Date: July 15, 2002
Reg. No. 50,389
Tel. No.: (617) 248-7097
Fax No.: (617) 248-7100
Robert S. Blasi, Esq.
Attorney for Applicants
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110



COPY OF PAPERS
ORIGINALLY FILED

PATENT
Attorney Docket No. CTX-077
(1545/131)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Bloomfield et al.
SERIAL NO.: 10/068,461 GROUP NO.: 2152
FILING DATE: February 6, 2002 EXAMINER: Not Yet Assigned
TITLE: Interacting With Software Applications Displayed in a Web Page

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on this 16th day of July, 2002.

Irja Zarembok

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

Transmittal Form (1 pg.);
Fee Transmittal (1 pg.);
Check No. 210220 in the amount of \$1790.00;
Petition for Three-Month Extension of Time Under 37 CFR 1.136(a) (1 pg.)
Response to Notice to File Missing Parts of Nonprovisional Application (1 pg.);
Copy of Executed Declaration and Power of Attorney (4 pgs.);
Copy of Notice to File Missing Parts of Nonprovisional Application (1 pg.);
Return Receipt Postcard.

2353855

COPY OF PAPERS
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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/068,461	02/06/2002	Marc Bloomfield	CTX-077

 021323
 TESTA, HURWITZ & THIBEAULT, LLP
 HIGH STREET TOWER
 125 HIGH STREET
 BOSTON, MA 02110


CONFIRMATION NO. 8406

FORMALITIES LETTER



OC000000007562385

Date Mailed: 03/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/24/2002 BNGUYEN1 00000027 10068461

01 FC:101
02 FC:105740.00 OP
130.00 OP



COPY OF PAPERS
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RECEIPT
PATENT
Attorney Docket No. CTX-077
(1545/131) #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Bloomfield et al.
SERIAL NO.: 10/068,461 GROUP NO.: 2152
FILING DATE: February 6, 2002 EXAMINER: Not Yet Assigned
TITLE: Interacting With Software Applications Displayed in a Web Page

FILE COPY

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
Washington, DC 20231

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REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the Patent Office for the above-identified application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the Domestic Priority Data, which includes only part of the priority data specified by the Applicant.
3. The Domestic Priority Data on the filing receipt reads "THIS APPLICATION IS A CIP OF 09/086,898 05/29/1998".
4. As indicated on page 1 of the specification as filed and required by Rule 78(a)(2), this application claims priority to co-pending U.S. patent application number 09/086,898, filed May 29, 1998; co-pending U.S. patent application number 08/855,977, filed May 14, 1997; and co-pending U.S. patent application number 09/247,220, filed February 10, 1999, the entirety of which were incorporated therein by reference.
5. The Domestic Priority Data on the filing receipt should read "THIS APPLICATION IS A CIP OF 09/086,898 05/29/1998, 08/855,977 05/14/1997, AND 09/247,220 02/10/1999."

Respectfully submitted,

Robert S. Blasi, Esq.
Attorney for Applicant(s)
Testa, Hurwitz, & Thibault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

Date: July 15, 2002
Reg. No. 50,389

Tel. No.: (617) 248-7097
Fax No.: (617) 248-7100

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UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 22 2002

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	EXP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/068,461	02/08/2002	2152	0.00	CTY-877	6	18	2

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CONFIRMATION NO. 8406

021323
TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110

MAR 14 2002

FILING RECEIPT



OC000000007562384

PATENT DEPARTMENT
TESTA, HURWITZ & THIBEAULT, LLP

Date Mailed: 03/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Marc Bloomfield, Lighthouse Point, FL;
Jeff Muir, Delray Beach, FL;
Anatoliy Panasyuk, West Ryde, AUSTRALIA;

RECEIVED

AUG 12 2002

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/086,898 05/29/1998,

Technology Center 2100

Foreign Applications

AND 09/247,220 02/10/1999.

If Required, Foreign Filing License Granted 02/28/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

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AUG 09 2002
GROUP

Title

Interacting with software applications displayed in a web page

No Docking Necessary

KFS

3-21-02

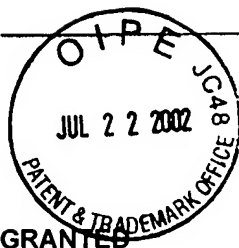
Administrator

Date

Preliminary Class

709

Reviewed & Approved



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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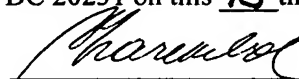
PATENT
Attorney Docket No. CTX-077
(1545/131)

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APPLICANT(S): Bloomfield et al.
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TITLE: Interacting With Software Applications Displayed in a Web Page

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Irja Zarembok

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
Washington, D.C. 20231

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AUG 1 2 2002

Sir:

Technology Center 2100

Submitted herewith is/are:

Transmittal Form (1 pg.);
Request for Corrected Filing Receipt (1 pg.);
Copy of Filing Receipt with changes Marked in Red (2 pgs.); and
Return Receipt Postcard.

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GROUP 3600